Response under 37 CFR 1.116

Expedited Procedure

Examining Group: 2192

**IN THE CLAIMS**:

1. (Previously Amended) A method for providing autonomic, event-driven upgrade

maintenance of one or more software modules residing on a computer system, the method

comprising:

detecting a predefined triggering event on the computer system indicative of a potential

maintenance issue, the predefined triggering event being triggered by a current operating

condition of the computer system;

connecting to an upgrade management server, based upon a set of user defined policies

residing on the computer system;

creating on the upgrade management server a list of recommended upgrade modules to

download to the computer system, the list based upon a set of selection policies;

downloading a set of recommended upgrade modules from the upgrade management

server to the computer system; and

selectively installing upgrade modules chosen from the set of recommended upgrade

modules downloaded to the computer system, based upon the set of user defined policies

residing on the computer system.

2. (Original) The method of claim 1, wherein the method further comprises the step of:

notifying a user of the status of the upgrade maintenance operation.

3. (Original) The method of claim 1, wherein the predefined triggering event comprises a

change to the hardware configuration of the computer system.

Docket No.: ROC920030111US1

Serial No.: 10/665,656

Response under 37 CFR 1.116

**Expedited Procedure** 

Examining Group: 2192

4. (Original) The method of claim 1, wherein the predefined triggering event comprises a

change to the software configuration of the computer system.

5. (Original) The method of claim 1, wherein the predefined triggering event comprises

exceeding a predefined error threshold on the computer system.

6. (Original) The method of claim 1, wherein the predefined triggering event comprises

exceeding a predefined performance threshold on the computer system.

7. (Original) The method of claim 1, wherein the predefined triggering event comprises

exceeding a predefined elapsed time since the last connection to the upgrade management server.

8. (Original) The method of claim 1, wherein the steps of connecting to a upgrade

management server and selectively installing the list of recommended upgrade modules are

controlled by a set of user defined policies.

9. (Original) The method of claim 8, wherein the set of user defined policies includes a

preferred connection time.

10. (Original) The method of claim 8, wherein the set of user defined policies includes the

connection resource to be used.

11. (Original) The method of claim 8, wherein the set of user defined policies includes the

specification of computer system areas/software products to enable automatic application of

upgrades.

12. (Original) The method of claim 8, wherein the set of user defined policies includes a

defined time to connect to the upgrade management server to check for upgrades.

Docket No.: ROC920030111US1

Serial No.: 10/665,656

Response under 37 CFR 1.116

**Expedited Procedure** 

**Examining Group: 2192** 

(Original) The method of claim 8, wherein the set of user defined policies includes a 13.

defined elapsed time interval for connecting to the upgrade management server to check for

upgrades.

(Original) The method of claim 8, wherein the set of user defined policies includes a 14.

notification list for e-mailing user of information and actions relative to the upgrade management

process.

15. (Original) The method of claim 8, wherein the set of user defined policies include a list

of one or more upgrade management servers to be used for the upgrade management process.

16. (Previously Amended) The method of claim 1, wherein the one or more computer

software modules comprises software applications.

17. (Previously Amended) The method of claim 1, wherein, the one or more computer

software modules comprises operating systems.

18. (Previously Amended) The method of claim 1, wherein the one or more computer

software modules comprises device drivers for installed hardware components.

19. (Original) The method of claim 1, wherein the set of selection policies is sent from the

computer system to the upgrade management server.

20. (Original) The method of claim 19, wherein the set of selection policies includes creating

the list of recommended upgrade modules based upon a specific set of upgrades requested by the

computer system.

21. (Previously Amended) The method of claim 19, wherein the set of selection policies

4

includes comparing a revision levels of the one or more software modules residing on the

Serial No.: 10/665,656

Docket No.: ROC920030111US1

Response under 37 CFR 1.116

**Expedited Procedure** 

Examining Group: 2192

computer system against a revision levels of one or more software modules residing on the

upgrade management server.

22. (Original) The method of claim 19, wherein the set of selection policies includes creating

the list of recommended upgrade modules by identifying modules associated with a hardware

change on the computer system.

23. (Original) The method of claim 19, wherein the set of selection policies includes creating

the list of recommended upgrade modules by identifying software modules associated with a

software change on the computer system.

24. (Original) The method of claim 19, wherein the set of selection policies includes creating

the list of recommended upgrade modules by identifying upgrades specifically associated with an

error triggering event on the computer system.

25. (Original) The method of claim 19, wherein the set of selection policies includes creating

the list of recommended upgrade modules by identifying upgrades specifically associated with a

performance triggering event on the computer system.

26. (Original) The method of claim 19, wherein the set of selection policies includes creating

the list of recommended upgrade modules by analyzing a problem history provided by the

computer system.

27. (Original) The method of claim 19, wherein the set of selection policies includes creating

the list of recommended upgrade modules by identifying compatible revision levels between two

or more software modules included within the list of modules.

28. (Original) The method of claim 1, wherein the step of downloading the list of

recommended upgrade modules from the upgrade management server to the computer system

Docket No.: ROC920030111US1

Serial No.: 10/665,656

Response under 37 CFR 1.116

Expedited Procedure

Examining Group: 2192

further comprises the step of downloading the upgrade modules themselves from the upgrade

management server to the computer system.

29. (Original) The method of claim 1, wherein the step of selectively installing upgrade

modules chosen from the list of recommended upgrade modules on the computer system further

comprises the step of downloading any upgrade modules chosen from the list of recommended

upgrade modules from the upgrade management server to the computer system prior to the

install.

30. (Previously Amended) A computer-readable program stored on a computer-readable

storage medium, said computer readable program being configured to perform the steps of:

detecting a predefined triggering event on a computer system indicative of a potential

maintenance issue, the predefined triggering event being triggered by a current operating

condition of the computer system;

connecting to an upgrade management server, based upon a set of user defined policies

residing on the computer system;

creating on the upgrade management server a list of recommended upgrade modules to

download to the computer system, the list based upon a set of selection policies;

downloading a set\_of recommended upgrade modules from the upgrade management

server to the computer system; and

selectively installing upgrade modules chosen from the set of recommended upgrade

modules downloaded to the computer system, based upon the set of user defined policies

residing on the computer system.

Docket No.: ROC920030111US1

Serial No.: 10/665,656

Response under 37 CFR 1.116

**Expedited Procedure** 

Examining Group: 2192

31. (Original) The computer-readable program of claim 30, wherein the computer-readable

program further includes the step of:

notifying a user of the status of the upgrade maintenance operation.

32. (Original) The computer-readable program of claim 30, wherein the predefined

triggering event comprises a change to the hardware configuration of the computer system.

33. (Currently Amended) The computer-readable program of claim 30, wherein the

predefined triggering event comprises a change to the hardware software configuration of the

computer system.

34. (Original) The computer-readable program of claim 30, wherein the predefined

triggering event comprises exceeding a predefined error threshold on the computer system.

35. (Canceled)

36. (Original) The computer-readable program of claim 30, wherein the predefined

triggering event comprises exceeding a predefined elapsed time since the last connection to the

upgrade management server.

37. (Original) The computer-readable program of claim 30, wherein the steps of connecting

to a upgrade management server and selectively installing the list of recommended upgrade

modules are controlled by a set of user defined policies.

38. (Original) The computer-readable program of claim 37, wherein the set of user defined

7

policies includes a preferred connection time.

Docket No.: ROC920030111US1

Serial No.: 10/665,656

Response under 37 CFR 1.116

**Expedited Procedure** 

Examining Group: 2192

39. (Original) The computer-readable program of claim 37, wherein the set of user defined

policies includes the connection resource to be used.

40. (Original) The computer-readable program of claim 37, wherein the set of user defined

policies includes the specification of computer system areas/software products to enable

automatic application of upgrades.

41. (Original) The computer-readable program of claim 37, wherein the set of user defined

policies includes a defined time to connect to the upgrade management server to check for

upgrades.

42. (Original) The computer-readable program of claim 37, wherein the set of user defined

policies includes a defined elapsed time interval for connecting to the upgrade management

server to check for upgrades.

43. (Original) The computer-readable program of claim 37, wherein the set of user defined

policies includes a notification list for e-mailing user of information and actions relative to the

upgrade management process.

44. (Original) The computer-readable program of claim 37, wherein the set of user defined

policies include a list of one or more upgrade management servers to be used for the upgrade

management process.

45. (Original) The computer-readable program of claim 30, wherein the set of selection

policies is sent from the computer system to the upgrade management server.

46. (Original) The computer-readable program of claim 45, wherein the set of selection

policies includes creating the list of recommended upgrade modules based upon a specific set of

upgrades requested by the computer system.

Docket No.: ROC920030111US1

Serial No.: 10/665,656

Response under 37 CFR 1.116

**Expedited Procedure** 

Examining Group: 2192

47. (Previously Amended) The computer-readable program of claim 45, wherein the set of

selection policies includes comparing a revision level of the one or more software modules

residing on the computer system against a revision level of one or more software modules

residing on the upgrade management server.

48. (Original) The computer-readable program of claim 45, wherein the set of selection

policies includes creating the list of recommended upgrade modules by identifying modules

associated with a hardware change on the computer system.

49. (Original) The computer-readable program of claim 45, wherein the set of selection

policies includes creating the list of recommended upgrade modules by identifying software

modules associated with a software change on the computer system.

50. (Original) The computer-readable program of claim 45, wherein the set of selection

policies includes creating the list of recommended upgrade modules by identifying upgrades

specifically associated with an error triggering event on the computer system.

51. (Original) The computer-readable program of claim 45, wherein the set of selection

policies includes creating the list of recommended upgrade modules by identifying upgrades

specifically associated with a performance triggering event on the computer system.

52. (Original) The computer-readable program of claim 45, wherein the set of selection

policies includes creating the list of recommended upgrade modules by analyzing a problem

history provided by the computer system.

53. (Original) The computer-readable program of claim 45, wherein the set of selection

policies includes creating the list of recommended upgrade modules by identifying compatible

9

revision levels between two or more software modules included within the list of modules.

Docket No.: ROC920030111US1

Serial No.: 10/665,656

Response under 37 CFR 1.116

Expedited Procedure

Examining Group: 2192

54. (Previously Amended) A method for deploying computing infrastructure, comprising

integrating computer-readable code into a computing system, wherein the code in combination

with the computing system is capable of providing autonomic, event-driven upgrade

maintenance of one or more software modules residing on a computer system, the method

comprising the steps of:

detecting a predefined triggering event on a computer system indicative of a potential

maintenance issue, the predefined triggering event being triggered by a current operating

condition of the computer system;

connecting to an upgrade management server, based upon a set of user defined policies

residing on the computer system;

creating on the upgrade management server a list of recommended upgrade modules to

download to the computer system, the list based upon a set of selection policies;

downloading a set of recommended upgrade modules from the upgrade management

server to the computer system; and

selectively installing upgrade modules chosen from the set of recommended upgrade

10

modules downloaded to the computer system, based upon the set of user defined policies

residing on the computer system.

Docket No.: ROC920030111US1

Serial No.: 10/665,656